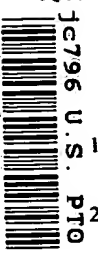


06/05/01



1c996 U.S. PTO  
09/875245  
06/05/01

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventorship .....Sanghvi et al.  
Applicant.....Microsoft Corporation  
Attorney's Docket No. ....MS1-701US  
Title: Method and Apparatus for Event Distribution and Event Handling in an Enterprise

## TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

To: Commissioner of Patents and Trademarks  
Washington, D.C. 20231

From: Steven R. Sponseller (509) 324-9256  
Customer No. 22801



22801

PATENT TRADEMARK OFFICE

The following enumerated items accompany this transmittal letter and are being submitted for the matter identified in the above caption.


1. Transmittal Letter with Certificate of Express Mailing included.
2. PTO Return Postcard Receipt
3. Fee Transmittal
4. Check in the amount of \$956.00
5. New patent application (title page plus 32 pages, including claims 1-27 & Abstract)
6. Executed Declaration
7. 8 sheets of formal drawings (Figs. 1-8)
8. Assignment w/Recordation Cover Sheet

Large Entity Status ☒ [x]

Small Entity Status ☐ [ ]

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any processing fees under 37 CFR 1.17.

Date: 6-05-2001


By:   
Steven R. Sponseller  
Reg. No. 39,384

## CERTIFICATE OF MAILING

I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the below-indicated date. Any Express Mail No. has also been marked on the listed items.

Express Mail No. (if applicable) EL792823424

Date: 6/5/01

By:   
Dana L. Calhoun

06/07/01

EL792823424

A

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)<sup>00</sup> 956

## Complete if Known

Application Number

Filing Date

First Named Inventor

Sanghvi

Examiner Name

Group Art Unit

Attorney Docket No.

msi-700us

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

12-0769

Deposit Account Name

Lee & Hayes PLLC

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code Fee Code Fee Description

| Fee Code | Fee Code | Fee Description        | Fee Paid |
|----------|----------|------------------------|----------|
| 101 710  | 201 355  | Utility filing fee     | 710      |
| 106 320  | 206 160  | Design filing fee      |          |
| 107 490  | 207 245  | Plant filing fee       |          |
| 108 710  | 208 355  | Reissue filing fee     |          |
| 114 150  | 214 75   | Provisional filing fee |          |

SUBTOTAL (1) (\$)<sup>00</sup> 710.00

### 2. EXTRA CLAIM FEES

Total Claims 27 -20\*\* = 7 X Fee from below 18 = 126  
Independent Claims 4 -3\*\* = 1 X Fee from below 80 = 80  
Multiple Dependent

Large Entity Small Entity

Fee Code Fee Code Fee Description

| Fee Code | Fee Code | Fee Description  | Fee Paid |
|----------|----------|--|----------|
| 103 18   | 203 9    | Claims in excess of 20                                     |          |
| 102 80   | 202 40   | Independent claims in excess of 3                          |          |
| 104 270  | 204 135  | Multiple dependent claim, if not paid                      |          |
| 109 80   | 209 40   | ** Reissue independent claims over original patent         |          |
| 110 18   | 210 9    | ** Reissue claims in excess of 20 and over original patent |          |

SUBTOTAL (2) (\$)<sup>00</sup> 206

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Fee Code | Small Entity Fee Code | Fee Description  | Fee Paid |
|-----------------------|-----------------------|--|----------|
| 105 130               | 205 65                | Surcharge - late filing fee or oath  |          |
| 127 50                | 227 25                | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139 130               | 139 130               | Non-English specification  |          |
| 147 2,520             | 147 2,520             | For filing a request for ex parte reexamination                            |          |
| 112 920*              | 112 920*              | Requesting publication of SIR prior to Examiner action                     |          |
| 113 1,840*            | 113 1,840*            | Requesting publication of SIR after Examiner action                        |          |
| 115 110               | 215 55                | Extension for reply within first month                                     |          |
| 116 390               | 216 195               | Extension for reply within second month                                    |          |
| 117 890               | 217 445               | Extension for reply within third month                                     |          |
| 118 1,390             | 218 695               | Extension for reply within fourth month                                    |          |
| 128 1,890             | 228 945               | Extension for reply within fifth month                                     |          |
| 119 310               | 219 155               | Notice of Appeal   |          |
| 120 310               | 220 155               | Filing a brief in support of an appeal                                     |          |
| 121 270               | 221 135               | Request for oral hearing   |          |
| 138 1,510             | 138 1,510             | Petition to institute a public use proceeding                              |          |
| 140 110               | 240 55                | Petition to revive - unavoidable   |          |
| 141 1,240             | 241 620               | Petition to revive - unintentional   |          |
| 142 1,240             | 242 620               | Utility issue fee (or reissue)   |          |
| 143 440               | 243 220               | Design issue fee   |          |
| 144 600               | 244 300               | Plant issue fee  |          |
| 122 130               | 122 130               | Petitions to the Commissioner  |          |
| 123 50                | 123 50                | Processing fee under 37 CFR 1.17(q)  |          |
| 126 180               | 126 180               | Submission of Information Disclosure Stmt                                  |          |
| 581 40                | 581 40                | Recording each patent assignment per property (times number of properties) | 40       |
| 146 710               | 246 355               | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149 710               | 249 355               | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179 710               | 279 355               | Request for Continued Examination (RCE)                                    |          |
| 169 900               | 169 900               | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)<sup>00</sup> 40

## SUBMITTED BY

Name (Print/Type) Steven R. Sponseller

Signature

*Steven R. Sponseller*

Registration No. (Attorney/Agent)

39,384

## Complete (if applicable)

Telephone

(509) 324-9256

Date

6-05-2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.